OT 1 2 2004 E

)

2652 Uhr

st Named

Inventor

: Shanlin Hao et al.

Appln. No.

09/864,562

Filed

: May 23, 2001

Title

: HEAD PERFORMANCE BASED NANO-

MACHINING PROCESS CONTROL FOR STRIPE

FORMING OF ADVANCED SLIDER

Docket No.

I69.12-0485

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450 I CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE ASSISTANT COMMISSIONER FOR PATENTS, ALEXANDRIA, VA 22313, THIS _____ DAY OF

Group Art Unit: 2652

Examiner: C.S. Miller

____, 2004.

PATENT ATTORNEY

The enclosed PTO Form-1449 lists patents and publications submitted pursuant to 37 C.F.R. 1.97. Copies of the patents or publications are enclosed as necessary [if application filing date is after June 30, 2003, copies of U.S. patents and application publications are not required].

TIME OF FILING

The Information Disclosure Statement is being filed:

- with the application or within three months of the filing date of a national application (other than a continued prosecution application under 37 C.F.R. 1.53(d)) or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office action on the merits or a first office action after the filing of a request for continued examination under 37 C.F.R. 1.114, whichever event occurs last. In accordance with 37 C.F.R. 1.97(b), no certification or fee is required.
- 2. X after the time period specified in paragraph 1 above, but, to the best of the undersigned's knowledge, before the mailing date of a final action under 37 C.F.R. 1.113 or notice of allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution of the application. In accordance with 37 C.F.R. 1.97(c), submitted herewith is:

(check either A or B below)

- A. _ a statement as specified in 37 C.F.R. 1.97(e), no fee is required.
- B. X the fee set forth in 37 C.F.R. 1.17(p) for submission of an Information Disclosure Statement under 37 C.F.R. 1.97(c).

- after the mailing date of either a final action under 37 C.F.R. 1.113 or a Notice of Allowance under 37 C.F.R. 1.311, whichever occurs first, but on or before payment of the issue fee. Applicant petitions for consideration of this Information Disclosure Statement pursuant to 37 C.F.R. 1.97(d)(2). Applicant submits herewith:
 - A. a statement as specified in 37 C.F.R. 1.97(e); and
 - B. the fee set forth in 37 C.F.R. 1.17(p).

Respectfully submitted,

KINNEY & LANGE, P.A.

By:

David R. Fairbairn, Reg. No. 26,047

THE KINNEY & LANGE BUILDING

312 South Third Street

Minneapolis, MN 55415-1002

Telephone: (612) 339-1863

Fax: (612) 339-6580

DRF:ks

2 2004 \$		
FORM PTO-144 PADEMARN OFFICE	Atty. Docket No.: 169.12-0485	Application No.: 09/864,562
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor: Shanlin Hao	
	Filing Date: May 23, 2001	Group Art: 2652

U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents
AA	US 6,760,197 B2	07-06-2004	Boutaghou, et al.
AB		·	
AC			
AD			
AE			
AF			
AG			
АН			
AI			
AJ			
AK			

FOREIGN PATENT DOCUMENTS

	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Translation Yes No
AL				
AM				
AN				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

-		
	AO	
	AP	
	AQ	•

EXAMINER: DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\	Complete if Known		
FEE TRANSMITTAL	Application No.	09/864,562	
*	Filing Date	May 23, 2001	
NPE	First Named Inventor	Shanlin Hao	
	Group Art Unit	2652	
OCT 1 2 2004 (5)	Examiner Name	C.S. Miller	
\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	Atty. Docket Number	169.12-0485	
Total Amount of Payment \$ 180.00			
METHOD OF PAYMENT (Check One)		LATION (Continued)	
1. [X] The Commissioner is hereby authorized to charge a additional fee required under 37 C.F.R. 1.16 and 1.17 a credit any over payments to Deposit Account No.11-09 Deposit Account Name: Kinney & Lange, P.A. A duplication of this communication is enclosed	Large Entity Small Entity Fee Fee Fee Fee Fe Code (\$) Code (\$)	re Description Fee paid	
2. [X] Check Enclosed	- 	urcharge - late provisional filing fee	
FEE CALCULATION	or	cover sheet on-English specification	
-		or Filing a Request for Reexamination	
1. BASIC FILING FEE		_	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description	· _ · · · · · · · · · · · · · · · · ·	tension for reply within first month	
1001 770 2001 385 [] Utility Filing Fee	ľ	tension for reply within second month	
1002 340 2002 170 [] Design Filing Fee		tension for reply within third month	
1004 770 2004 385 [] Reissue Filing Fee	,	tension for reply within fourth month	
1005 160 2005 80 [] Prov. Filing Fee		tension for reply within fifth month	
Subtotal (1) \$00.	00	ing a brief in support of an appeal	
2. EXTRA CLAIM FEES	1403 290 2403 145 Re	equest for oral hearing	
Number Prior Extra Fee from Fee Paid Claims Below	1814 110 2814 55 Te	erminal Disclaimer Fee	
Total = X _ =	1452 110 2452 55 Pe	etition to revive - unavoidable	
Indep = _ X _ = _	1453 1,330 2453 665 Pe	etition to revive - unintentional	
Multiple Dependent Claims _ = _	1501 1,330 2501 665 Ut	ility/Reissue issue fee	
Insert 3 and 20, or number previously paid if greater; Reissue see	1502 480 2502 240 De	esign issue fee	
below Small Entity	1460 130 1460 130 Pe	etitions to the Commissioner	
Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	1807 50 1807 50 Pe	etitions related to provisional applications	
1202 18 2202 9 Claims in excess of 20		abmission of Information Disclosure atement 180	
1201 86 2201 43 Independent claims in excess of 3	8021 40 8021 40 Re	ecording each patent assignment per operty (times number of properties)	
1203 290 2203 145 Multiple Dependent Claim			
1204 86 2204 43 Reissue Independent Claims Over Original Patent		equest for Continued Examination CE)	
1205 18 2205 9 Reissue claims in excess of 20 and over original patent	Other fee (specify)		
Subtotal (2) \$00.	00	Cabiotai (6) \$ 100.00	
Signature David R. Falrbairn	Reg. No26,047		
10/1/1	Account No11-0982		